



State of Illinois

State Internal Audit Advisory Board

Fall Government Auditing Conference

October 29 and 30, 2013

A note from the SIAAB Conference Coordinator

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of The Institute of Internal Auditors.

Part of the SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's Conference offers a wide variety of topics, including breakout sessions where peers can exchange ideas and information. We hope that the range of topics offered at this Conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$55, you will earn 14 hours of CPE, which provides tremendous value in these tight economic times. I would also like to invite you to learn more about the SIAAB by exploring our Web site at: <http://siaab.audits.uillinois.edu>

Tracy K. Allen, CPA
Chief Internal Auditor
Illinois Office of the Comptroller

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Registration Form

THINGS TO DO
IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln’s Home, National Historic Site
- Lincoln’s Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall
- Knights Action Park
- Muni Opera
- And much more!



Exploring Springfield, Illinois



Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or low key, Springfield has it all.

The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city’s original horseshoe sandwich to Caribbean cuisine, Springfield’s restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at: <http://www.visit-springfieldillinois.com/>.

Hotel Accommodations

A block of rooms is being held at the *Northfield Inn, Suites & Conference Center, Springfield Illinois* for the nights of **October 28 and 29, 2013.**

The *Northfield Inn* is located at 3280 Northfield Drive, Springfield, Illinois 62702.



Reservations are to be made directly with the *Northfield Inn* by calling **217-523-7900**. A block of rooms is reserved under the name of **The Institute of Internal Auditors** at the State rate of **\$70** (plus tax). **The block of rooms will be released on October 15, 2013.** After the cutoff date, there is no guarantee that rooms under this block or at State rates will be available.

Additional information about the *Northfield Inn* can be found at: <http://www.northfieldinn.com>

STATE INTERNAL AUDIT ADVISORY BOARD

CO-SPONSORED BY THE SPRINGFIELD CHAPTER OF
THE INSTITUTE OF INTERNAL AUDITORS

Fall Government Auditing Conference**Date:**

Tuesday, October 29, 2013, and Wednesday, October 30, 2013

Field of Study:

Federal / State Governmental Accounting and Auditing

Course Objective:

Increase professional accounting and auditing skills pertaining to:

- Auditing Third Party Vendors and Service Providers
- Comptroller Office Updates and Hot Topics
- Executive Ethics Commission Ethics Training
- Office of Executive Inspector General Investigative Priorities and Initiatives
- Treasurer Presentation on the E-Pay Program
- Detecting Fraud with Data Analytics
- Auditor General Update
- COSO Framework and Designing the Right Plan
- Risk Advantaged Internal Auditing

Course Level:

- External/Internal Audit Staff;
 - External/Internal Audit Supervisors;
 - External/Internal Audit Managers; and
 - Chief Internal Auditors
- (No advanced preparation required.)

Location:

Northfield Inn, Suites & Conference Center

CPE:

14 Hours

Course Fee:

\$55.00

TUESDAY, OCTOBER 29, 2013

AGENDA

07:45 am – 08:15 am	Registration / Sign-in
08:15 am – 08:20 am	Opening Remarks Julie A. Zemaitis, CPA SIAAB Chair Executive Director of University Audits University of Illinois
08:20 am – 09:50 am	Auditing Third Party Vendors and Service Providers Linda Abernethy, Partner McGladrey Sean Hickey, Senior Manager McGladrey
09:50 am – 10:05 am	BREAK
10:05 am – 10:55 am	Comptroller Updates and Financial Reporting Kathleen Madonia, CPA Director Financial Reporting Office of Illinois Comptroller—Judy Baar Topinka
10:55 am – 11:45 am	Ethics Training Chad Fornoff Executive Director Executive Ethics Commission
11:45 am – 01:00 pm	LUNCH—Chief Internal Auditors Break-out Lunch
01:00 pm – 02:00 pm	OEIG Investigative Priorities and Initiatives Honorable Ricardo Meza Governor's Executive Inspector General Office of Executive Inspector General
02:00 pm – 03:00 pm	E-Pay Program and PCI Compliance Jon Skinner Manager of Technical Support Office of the Illinois State Treasurer—Dan Rutherford
03:00 pm – 03:20 pm	BREAK
03:20 pm – 04:20 pm	Comptroller's Office Hot Topics Marvin Becker, CPA Director Statewide Accounting Office of Illinois Comptroller—Judy Baar Topinka
04:20 pm – 04:30 pm	Evaluation, Wrap-up and Adjournment

WEDNESDAY, OCTOBER 30, 2013

AGENDA

07:45 am – 08:15 am	Registration / Sign-in
08:15 am – 10:00 am	Data Analytics for Fraud Detection Jeremy Clopton, CPA, CFE, ACDA Managing Consultant -Forensics & Valuation Services BKD, LLP
10:00 am – 10:15 am	BREAK
10:15 am – 11:45 am	COSO Framework and Designing the Right Plan Stephen Minder, CIA, CPA, CISA, CFE, CGMA Chief Executive Office YCN Group—Audit & Control—Software and Consulting Services
11:45 am – 01:00 pm	LUNCH—University Auditors Break-out Lunch
01:00 pm – 02:00 pm	Illinois Auditor General Honorable William G. Holland
02:00 pm – 04:20 pm (30 minute break)	Risk Advantaged Internal Auditing Stephen Minder, CIA, CPA, CISA, CFE, CGMA Chief Executive Office YCN Group—Audit & Control—Software and Consulting Services
04:20 pm – 04:30 pm	Closing Remarks, Evaluation, and Adjournment

FALL GOVERNMENT AUDITING CONFERENCE
 Co-Sponsored by
 State Internal Audit Advisory Board and
 the Springfield Chapter of The Institute of Internal Auditors
October 29 and 30, 2013

Name:

Title:

E-Mail Address:

Telephone No.:

Institution:/Agency:

Address:

City/State:

ZIP Code:

REGISTRATION FEE: \$55.00

(Fees includes Continental Breakfast, Lunch, and Refreshments for both days of the Conference.)

Make Check Payable to: THE INSTITUTE OF INTERNAL AUDITORS—SPRINGFIELD CHAPTER
 (FEIN 37-1088763)

Please Mail Check to: The Institute of Internal Auditors—Springfield Chapter
P.O. Box 205, Springfield, IL 62705

Conference Breakout Sessions Attending:

- Chief Internal Auditors Universities Auditors

Cancellation Policy:

Written notice of cancellations received before **October 22, 2013**, will be fully refunded. Cancellations received from **October 22–October 27, 2013**, will be refunded less a \$25 processing fee. On or after **October 27, 2013**, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.

Register by Mail, E-Mail, or On-line!

Mail to:

Illinois Office of the Comptroller
 c/o Tracy K. Allen
 325 West Adams
 Springfield, IL 62704

Or On-line at:

<https://illinois.edu/fb/sec/9698278>

Or e-mail to:

AllenTK@mail.ioc.state.il.us

Questions?

Please Contact:

Tracy K. Allen
 217-782-1003
 AllenTK@mail.ioc.state.il.us